

Customer No. 000959

Case Docket No. SMQ-043

THE COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

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Date of Deposit June 4, 2001

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Signature

Larry Taylor

Please Print Name of Person Signing

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Spencer M. Gold, Julie M. Staraitis, and Masooma Bhaiwala

For: METHOD AND SYSTEM FOR TRACKING AND RECYCLING PHYSICAL REGISTER ASSIGNMENT

Enclosed are.

- ☐ This is a request for filing a ☐ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. \_\_\_\_\_ filed on \_\_\_\_\_ entitled \_\_\_\_\_.
- ☒ 11 pages of specification, 5 pages of claims, 1 pages of abstract.
- ☒ 4 sheets of drawings.
- ☒ A Declaration, Petition and Power of Attorney.
- ☒ An assignment of the invention to SUN MICROSYSTEMS INC.. A recordation form cover sheet (Form PTO 1595) is also enclosed.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Other \_\_\_\_\_

The filing fee has been calculated as shown below:

|  | (Col. 1)             | (Col. 2)  |
|--|----------------------|-----------|
| FOR:   | NO. FILED            | NO. EXTRA |
| BASIC FEE  | //////////////////// |           |
| TOTAL CLAIMS   | 21 - 20              | = 1       |
| INDEP. CLAIMS  | 4 - 3                | = 1       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED |                      |           |

\* If the difference in Col. 2 is less than zero, enter "0" in Col. 2.

## SMALL ENTITY

| RATE     | FEE |
|----------|-----|
| //////// | \$  |
| x 9=     | \$  |
| x 40     | \$  |
| +135     | \$  |
| TOTAL    | 0   |

OTHER THAN  
SMALL ENTITY

| RATE     | FEE      |
|----------|----------|
| //////// | \$ 710   |
| x 18=    | \$ 18    |
| x 80     | \$ 80    |
| +270     | \$       |
| TOTAL    | \$808.00 |

- ☐ Please charge my Deposit Account No. 12-0080 in the amount of \$.  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ \$808.00 to cover the filing fee is enclosed.
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☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.  
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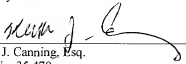
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.  
☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).  
☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

- ☒ A check in the amount of \$ 40.00 to cover the recording of assignment documents is also enclosed.  
☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to Kevin J. Canning, Esq. at **Customer Number: 000959** whose address is:

Lahive & Cockfield, LLP  
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Date: June 4, 2001

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